



## The Commonwealth of Massachusetts William Francis Galvin

Minimum Fee: \$100.00

Secretary of the Commonwealth, Corporations Division  
One Ashburton Place, 17th floor  
Boston, MA 02108-1512  
Telephone: (617) 727-9640

### Annual Report

(General Laws, Chapter 156D, Section 16.22; 950 CMR 113.57)

Identification Number: 8409654071. Exact name of the corporation: GWFS EQUITIES, INC.2. Jurisdiction of Incorporation: State: DE Country: USA

3,4. Street address of the corporation registered office in the commonwealth and the name of the registered agent at that office:

Name: C T CORPORATION SYSTEMNo. and Street: 155 FEDERAL STREETSTE 700City or Town: BOSTON State: MA Zip: 02110 Country: USA

5. Street address of the corporation's principal office:

No. and Street: 8515 E ORCHARD RDCity or Town: GREENWOOD VILLAGE State: CO Zip: 80111 Country: USA6. Provide the name and business street address of the officers and of all the directors of the corporation:  
(A president, treasurer, secretary and at least one director are required.)

| Title     | Individual Name<br>First, Middle, Last, Suffix | Address (no PO Box)<br>Address, City or Town, State, Zip Code |
|-----------|------------------------------------------------|---------------------------------------------------------------|
| PRESIDENT | EDMUND F. MURPHY                               | 8515 E ORCHARD RD<br>GREENWOOD VILLAGE, CO 80111 USA          |
| TREASURER | REGINA M. MATTIE                               | 8515 E ORCHARD RD<br>GREENWOOD VILLAGE, CO 80111 USA          |
| SECRETARY | RYAN LOGSDON                                   | 8515 E ORCHARD RD<br>GREENWOOD VILLAGE, CO 80111 USA          |
| DIRECTOR  | STEPHEN JENKS                                  | 8515 E ORCHARD RD<br>GREENWOOD VILLAGE, CO 80111 USA          |
| DIRECTOR  | EDMUND MURPHY                                  | 8515 E ORCHARD RD<br>GREENWOOD VILLAGE, CO 80111 USA          |
| DIRECTOR  | ROBERT K. SHAW                                 | 8515 E ORCHARD RD<br>GREENWOOD VILLAGE, CO 80111 USA          |
| DIRECTOR  | CAROL WADDELL                                  | 8515 E ORCHARD RD<br>GREENWOOD VILLAGE, CO 80111 USA          |

7. Briefly describe the business of the corporation:

BROKER/DEALER

8. Capital stock of each class and series:

| Class of Stock | Par Value Per Share<br>Enter <b>0</b> if no Par | Total Authorized by Articles<br>of Organization or Amendments |                        | Total Issued<br>and Outstanding<br><i>Num of Shares</i> |
|----------------|-------------------------------------------------|---------------------------------------------------------------|------------------------|---------------------------------------------------------|
|                |                                                 | <i>Num of Shares</i>                                          | <i>Total Par Value</i> |                                                         |
| CNP            | \$0.00000                                       | 10,000                                                        | \$0.00                 | 4,000                                                   |

9. Check here if the stock of the corporation is publicly traded: ☐

10. Report is filed for fiscal year ending: 12/31/ 2017

Signed by RYAN LOGSDON , its OTHER OFFICER  
on this 10 Day of February, 2018